

FOI Team | Optum Health Systems Support

South Kesteven District Council Offices,
St Peters Hill
Grantham
Lincolnshire,
NG31 6PZ

4th October 2019

Dear Requester

FREEDOM OF INFORMATION REQUEST - RESPONSE
FOI Reference: OPTUM FOI 400-731 – LE CCG

I refer to your email received on Friday 30th August 2019. I can confirm on behalf of NHS Lincolnshire East Clinical Commissioning Groups (CCG) supported by Optum Commissioning Support Services, and in accordance with S.1 (1) of the Freedom of Information Act 2000 (FOIA) that we do hold the information you have requested. A response to each element of your request is detailed below:

REQUEST & RESPONSE

Our request relates to claims pursued for NHS funded continuing healthcare under the scheme announced by the Department of Health in 2012. Therefore, registered with the Clinical Commissioning Groups (CCGs) before September 2012 (for claims involving periods of care from 1 April 2004 to 31 March 2011) and 31 March 2013 (for claims involving periods of care from 1 April 2011 to 31 March 2012).

Our request therefore relates to claims involving previously un-assessed periods of care (“PUPoC”) and claims where any assessments completed at the time were deemed flawed under the October 2012 guidance, NHS Continuing Healthcare: Dealing with requests for assessments for un-assessed periods of care.

In respect of the claims, please provide us with the following information:

1. How many PUPoC cases have been completed by or on behalf of the CCG?
RESPONSE: Please refer to Table 1 in Appendix 1.
2. How many PUPoC cases are still open?
RESPONSE: Please refer to Table 1 in Appendix 1.
3. How many of the cases referred to in 1 above have been successful, (i.e redress paid)?
RESPONSE: Please refer to Table 1 in Appendix 1.
4. How many PUPoC cases are waiting for redress (eligibility awarded and redress to be made)?
RESPONSE: Please refer to Table 1 in Appendix 1.
5. Please provide the total amount paid in redress to claimants. Please provide this amount annually?
RESPONSE: Please refer to Table 2 in Appendix 1.

6.. In relation to 4 above, please provide the total amount paid in interest to claimants. Please provide this information annually?

RESPONSE: Please refer to Table 2 in Appendix 1.

7. If applicable, please confirm the total amount paid by the CCG to commission third parties (CSU and/or private companies) to undertake retrospective PUPoC claims.

RESPONSE: This amount is covered in the CCG's contract with NHS Arden & GEMCSU. Details of which are available here:

<https://lincolnshireeastccg.nhs.uk/about-us/finances/competition-procurement-patient-choice-and-contracting>

I trust that this answers your queries with the information we currently hold, but if we can be of any further assistance please do not hesitate to contact the FOI Team.

If you are dissatisfied with the handling of your request, you have the right to ask for an internal review. Internal review requests should be submitted within two months of the date of receipt of the response to your original letter and should be addressed to

Optum Health Systems Support
FOI TEAM
South Kesteven District Council Offices
St. Peter's Hill
Grantham
NG31 6PZ

If you are not content with the outcome of your complaint, you may apply directly to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the complaints procedure provided by the CCGs.

The Information Commissioner's contact details can be found here:

<https://ico.org.uk/global/contact-us/>

All information we have provided is subject to the provisions of the Re-use of Public Sector Information Regulations 2015. Accordingly, if the information has been made available for re-use under the [Open Government Licence](#) (OGL) a request to re-use is not required, but the licence conditions must be met. You must not re-use any previously unreleased information without having the consent from the CCG. Should you wish to re-use previously unreleased information then you must make your request in writing (email will suffice) to the FOI Lead. All requests for re-use will be responded to within 20 working days of receipt.

Yours faithfully

FOI Team
On behalf of NHS Lincolnshire East CCG

Appendix 1

Table 1 – responses to questions 1, 2, 3 and 4

	Response to Question 1	Response to Question 2	Response to Question 3	Response to Question 4	Response to Question 5	Response to Question 6
CCG	How many PUPoC case have been completed	How many PUPoC Cases still open	How many of the closed cases have been successful and redress been paid	How many PUPoC cases are waiting for redress	Total amount paid in redress	Total amount paid in interest
Lincolnshire East	373	21*	16 (1 was PE and has taken NE period to IRP)	4 (2 have been found PE and taken NE period to NHSE and 1 is an overturn by IRP)	£259,691.27	£59,814.60

Table 2 – responses to questions 5 and 6

Year of payment → CCG ↓	Year 2013/14	Interest	Year 2014/15	Interest	Year 2015/16	Interest		
Lincolnshire East	£0.00	£0.00	£8,738.74	£727.64	£97,977.67	£23,030.14		
Year of payment → CCG ↓	Year 2016/17	Interest	Year 2017/18	Interest	Year 2018/19	Interest	Year 2019/20	Interest
Lincolnshire East	£84,884.98	£21,137.47	£4,079.74	£544.64	£52,747.99	£11,349.88	£11,262.15	£3,024.83